

**10/568388**

**1AP20 Rec'd PCT/PTO 15 FEB 2006**

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q93048

Kazuhisa KAWANO, et al.

Appln. No.: National Stage Entry of PCT/JP2004/011796

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: February 15, 2006

Examiner: Unknown

For: ORGANOMETALLIC IRIIDIUM COMPOUND, PROCESS FOR PRODUCING THE  
SAME, AND PROCESS FOR PREPARING FILM

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2004/011796 dated February 1, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE  
**23373**  
CUSTOMER NUMBER

Date: February 15, 2006

Substitute for Form 1449 A & B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	National Stage Entry of PCT/JP2004/01798 388
				Confirmation Number	Unknown
				Filing Date	February 15, 2006
				First Named Inventor	Kazuhisa KAWANO
				Art Unit	Unknown
				Examiner Name	Unknown
Sheet 1 of 1				Attorney Docket Number	Q93048

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,884,902	B2	04-26-2005	Mayumi Takamori, et al.
		US 6,319,832	B1	11-20-2001	Stefan Uhlenbrock, et al.
		US 4,992,305		02-12-1991	Ahmet Erbil
		US 4,751,344		06-14-1988	Howard W. Walker
		US 4,670,621		06-02-1987	Howard W. Walker
		US 2001/0031539	A1	10-18-2001	Stefan Uhlenbrock, et al.
		US 5,130,172	A	07-14-1992	Robert F. Hicks, et al.
		US			
		US			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		International Search Report dated February 1, 2005	
		M. DZIALLAS, et al., "BASISCHE METALLE LXII DARSTELLUNG UND REAKTIONEN DERMETALL-BASEN C <sub>5</sub> H <sub>5</sub> Ir (OLEFIN) PPr <sub>3</sub> UND C <sub>5</sub> H <sub>5</sub> Ir (OLEFIN) <sub>2</sub> , Journal of Organometallic Chemistry, 1987, Vol. 330, No. 1-2, Pages 207-219	
		A. L. ONDERDELINDEN, et al., "Chloro- and Bromo- (alkene)iridium (I) Complexes*, Inorganica Chimica Acta, 6:3, September 1972	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.